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Dkt. #873-Z-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ivan C. KING
U.S. Serial No.: 10/738,423
Filing Date : December 16, 2003
For : COMPOSITIONS AND METHODS FOR TUMOR-TARGETED
DELIVERY OF EFFECTOR MOLECULES

Law Offices of Albert Wai-Kit Chan, LLC
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March 31, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicant hereby submits this Supplemental Information Disclosure Statement (SIDS) to direct the Examiner's attention to the following references which are listed below and on Form PTO/SB/08B, attached hereto as **Exhibit A**. The individual references are further attached hereto as **Exhibits 1** through **6**.

1. Curtiss, 2002, "Bacterial infectious disease control by vaccine development," J. Clin. Invest. 110(8):1061-1066
[Exhibit 1]
2. Dietrich, et al., 1998, "Delivery of antigen-encoding plasmid DNA into the cytosol of macrophages by attenuated suicide *Listeria monocytogenes*," Nature Biotechnology 16:181-185
[Exhibit 2]

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3. Dietrich, et al., 2000, "Bacterial Systems for the Delivery of Eukaryotic Antigen Expression Vectors," Antisense & Nucleic Acid Drug Development 10:391-399 [Exhibit 3]
4. Grillot-Courvalin, et al., 1998, "Functional gene transfer from intracellular bacteria to mammalian cells," Nature Biotechnology 16:862-866 [Exhibit 4]
5. Pascual, et al., 1997, "Oral Bacterial Vaccine Vectors for the Delivery of Subunit and Nucleic Acid Vaccines to the Organized Lymphoid Tissue of the Intestine," Behring Inst. Mitt. 98:143-152 [Exhibit 5]
6. Titball and Williamson, 2001; "Vaccination against bubonic and pneumonic plague," Vaccine 19:4175-4184 [Exhibit 6]

Pursuant to 37 C.F.R. 1.97(b), no fee is required for the filing of an IDS before the mailing of a first Office Action on the merits. Accordingly, no fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891. Conversely, authorization is also given to credit any the amount of any overpayments to Deposit Account No. 50-1891.

If a telephone interview would be of assistance in advancing the prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone him at the number provided below.

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Respectfully submitted,

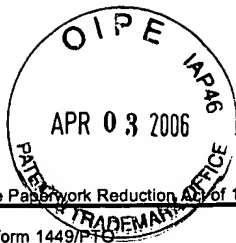
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PTO/SB/088 (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/738,423

Filing Date December 16, 2003

First Named Inventor Ivan C. KING

Art Unit 1632

Examiner Name R. Shukla

Attorney Docket Number 873-Z-US

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Curtiss, 2002, "Bacterial infectious disease control by vaccine development," J. Clin. Invest. 110(8):1061-1066	
	2	Dietrich, et al., 1998, "Delivery of antigen-encoding plasmid DNA into the cytosol of macrophages by attenuated suicide Listeria monocytogenes," Nature Biotechnology 16:181-185	
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	6	Titball and Williamson, 2001, "Vaccination against bubonic and pneumonic plague," Vaccine 19:4175-4184	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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